



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,510	05/04/2001	Xi Li	SAVI-001

CONFIRMATION NO. 8687

## FORMALITIES LETTER



\*OC000000006262747\*

David B. Ritchie  
THELEN REID & PREIST LLP  
P.O. Box 640640  
San Jose, CA 95164-0640

Date Mailed: 07/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$434.
  - \$234 for 26 total claims over 20.
  - \$200 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 854.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

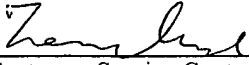

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

   
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

105001-01554350



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Xi Li, et al.  
SERIAL NO.: 09/849,510  
FILING DATE: May 4, 2001  
TITLE: SUPPLY CHAIN VISIBILITY FOR REAL-TIME TRACKING OF GOODS  
EXAMINER: (to be assigned)  
ART UNIT: 2171

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date printed below:

Date: October 4, 2001

Name:

*Sharon E. Byam*  
Sharon E. Byam

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Responsive to the Notice to File Missing Parts dated July 5, 2001, please find the following:

1. Declaration & Power of Attorney executed by the inventor(s);
2. Substitute Drawings in compliance with 37 CFR §1.84;
3. Copy of Notice to File Missing Parts; and
4. Check in the amount of \$854.00 for filing fee and surcharge for assignee as

a small entity calculated as follows:

Basic filing fee - Fee Code 201	\$ 355.00
5 additional independent claims - Fee Code 202	\$ 200.00
26 additional claims in excess of twenty - Fee Code 203	\$ 234.00
<u>Surcharge - Fee Code 205</u>	<u>\$ 65.00</u>
Total	\$ 854.00

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698. A duplicate of this transmittal page is enclosed.

Respectfully submitted,  
THELEN REID & PRIEST LLP



Marc S. Hanish  
Reg. No. 42,626

Dated: October 4, 2001

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FOOTNOTES